



22783 U.S. PTO

031004

PTO/SB/05 (03-01)

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | | | |
|------------------------------------------------|--------------------------|-------------|-----------|
| Attorney Docket No. | 88066-8099 | Total Pages | 73 |
| First Named Inventor or Application Identifier | | | |
| Dario Norberto R. CARRARA | | | |
| Express Mail Label No. | EV 346 810 630 US | | |

U.S. PTO
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APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.**ADDRESS TO: MAIL STOP PATENT APPLICATION**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification Total Pages 58
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed Sponsored R&D
 - Reference to Sequence Listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 11
5. Oath or Declaration Total Pages 02
 - a. ☒ Unexecuted (original or copy)
 - b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies.

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449
Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Non-publication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application no. 10/343,570 filed May 19, 2003

Prior Application Information: Examiner: _____ Group Art Unit: _____

The entire disclosure of the prior application(s) noted above, is/are considered a part of the disclosure of the accompanying application and is/are hereby incorporated by reference.**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code

CUSTOMER NO. 28765

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| CITY | | STATE | | ZIP CODE | |
| COUNTRY | | TELEPHONE | | FAX | |

| | | | |
|-------------------|-----------------------------------|------|----------------|
| Name (Print/Type) | Allan A. Fanucci, Reg. No. 30,256 | | |
| Signature | | Date | <u>3-10-04</u> |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Attorney Docket No.: 88066-8099

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Dario Norberto R. CARRARA, Arnaud GREINER, Celine BESSE, Stephen M. SIMES,
 Leah M. LEHMAN Executed on: Unexecuted

Title of the Invention: FORMULATIONS FOR TRANSDERMAL OR TRANSMUCOSAL
 APPLICATION


PATENT APPLICATION FEE VALUE

| TYPE | NO. FILED | LESS | EXTRA | EXTRA RATE | FEE |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------|-------|------------|-----------|
| Total Claims | 26 | -20 | 6 | \$18.00 | \$ 108.00 |
| Independent | 3 | -3 | 0 | \$86.00 | \$ 0.00 |
| Minimum Fee | | | | | \$ 770.00 |
| Multiple Dependency Fee If Applicable (\$290.00) | | | | | \$ 0.00 |
| Total | | | | | \$ 878.00 |
| 50% Reduction for independent inventor, nonprofit organization or small business concern (verified statement as to the applicant's status is attached) | | | | | -\$ 0.00 |
| TOTAL FILING FEE | | | | | \$ 878.00 |

- ☐ **DO NOT PUBLISH.** I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 112(b).
- ☒ Priority of Provisional application no. 60/453,604, and 60/510,613, filed March 11, 2003, and October 10, 2003, respectively, is claimed under 35 U.S.C. § 119.
- ☐ The certified priority document has been filed in application no. * filed *.
- ☒ Please charge the required fees to Winston & Strawn LLP Deposit Account No. 50-1814. A copy of this sheet is attached.

Respectfully submitted,

3-10-04
 Date


 Allan A. Fanucci (Reg. No. 30,256)

WINSTON & STRAWN LLP
 Customer No. 28765

202-371-5904

EXPRESS MAIL LIST

To:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on March 10, 2004

| Express Mail No. EV 346 810 630 US | | |
|-------------------------------------------|----------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Attorney Docket No. | Appln. Serial No./ Patent No. | Items - Documents filed on March 10, 2004 |
| 88066-8099 | TBA | Utility Patent Application Transmittal; Specification 58 pages including 26 claims; Formal Drawings Figs. 1-5C (11 sheets); Information Disclosure Statement, PTO 1449, 6 refs.; Application Fee Sheet (in duplicate); Unexecuted Declaration |

*Please acknowledge receipt of these items as received by returning
the enclosed postcards with the date of receipt of March 10, 2004*

NY:846497.7